



## Jean-Philippe Gagnon

### Associate

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[Investment Management](#)  
[Registrant Regulation & Compliance](#)  
[Financial Services Regulatory](#)  
[Capital Markets](#)  
[Mergers & Acquisitions](#)

Jean-Philippe provides advice on registration, regulatory compliance and governance to Canadian and foreign investment fund dealers, advisors and managers. He leverages his experience in securities registrant regulation and compliance in the development, review and analysis of compliance policies and procedures.

Prior to joining BLG, Jean-Philippe worked as an Expert Analyst in the Supervision of Intermediaries department of the Autorité des marchés financiers (AMF). In that capacity, he:

- acquired expertise in securities firms registration;
- assisted in the evaluation and granting of novel exemptions from securities regulations;
- worked on several merger and acquisition matters;
- dealt with various issues of regulatory non-compliance by registrants.

Jean-Philippe has also served on various national committees focused on the development and application of regulations in Canada in collaboration with the Canadian Securities Administrators (CSA).

Jean-Philippe is currently pursuing a Master's degree in Financial Markets and Products Law at University of Montréal.

## Experience

- Counsel to Guardian Capital Group Limited in its \$1.67 billion go-private transaction with Desjardins Global Asset Management Inc.

## Perspectives et événements

- Author, "Impact of new rules on complaint handling on dealers and advisers", BLG Article, May 2025
- Author, "Regulators delegate, CIRO regulates", BLG Article, April 2025

## Beyond Our Walls

### Professional Involvement

- Member, Barreau du Québec

## Bar Admission & Education

- Québec, 2022
- LL.L. (civil law), University of Ottawa, 2019
- Certificate in Law, Université de Montréal, 2016
- Canadian Securities Course (CSC), Canadian Securities Institute, 2014
- Bachelor of Business Administration (BBA), Finance and Marketing, HEC Montréal, 2014

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