



Kathryn M. Fuller

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[Gestion des investissements](#)
[Fonds communs de placement](#)
[FNB, produits structurés et fonds d'investissement à capital fixe](#)
[Fonds de capital-investissement, fonds de couverture et placements non traditionnels](#)
[Réglementation et conformité des personnes inscrites](#)
[Fusions et acquisitions](#)

Kathryn practises corporate, commercial and securities law, with a dedicated focus on the investment management industry.

She advises Canadian fund managers on the establishment, operation and administration of investment funds and other investment vehicles, across all asset classes in Canada, including:

- mutual funds
- exchange-traded funds
- hedge funds
- close-ended funds
- pooled funds
- private market funds

She regularly advises managers on regulatory and compliance matters and governance issues affecting funds, including sales practices, independent review committees and conflict of interest matters. She also advises on regulatory and compliance matters impacting portfolio managers and dealers, as well as foreign managers on offering and marketing foreign funds in Canada.

In addition, Kathryn advises clients on merger and acquisition transactions and outsourcing transactions in the investment management industry.

During her time at BLG, Kathryn was seconded to a major mutual fund company.

Kathryn is the Toronto regional leader of BLG's Investment Management Group. She is also a member of the firm's [Environmental, Social and Governance \(ESG\)](#) initiative.

Experience

- Franklin Templeton (NYSE:BEN), a global investment management organization, in its acquisition of Legg Mason, Inc. (NYSE:LM).
- Canoe Financial LP in its acquisition of the rights to manage all of the retail mutual funds managed by Fiera Investments LP, representing approximately \$1.12 billion in assets.
- Forum Asset Management's Real Estate Income and Impact Fund (REIIF) in the acquisition of the assets of Alignvest Student Housing Real Estate Investment Trust, growing REIIF's gross asset value to over \$2.4 billion.
- Canoe Financial LP in its acquisition of investment fund management contracts for nine of Fiera Capital Corporation's Mutual Funds with approximately \$785 million in assets.
- Advising multiple fund managers on the establishment of their public fund business in Canada, as well as the formation and ongoing distribution of retail mutual funds, alternative mutual funds and ETFs.
- Advising fund sponsors and general partners on the establishment and exempt distribution of pooled funds and hedge funds.
- Advising general partners and fund sponsors on all aspects of establishment and distribution of private funds across a broad range of asset classes, including infrastructure, real estate and private credit.
- Registering securities industry participants as dealers, advisers and investment fund managers in Canada.
- Advising on compliance with corporate and securities laws, rules and policies relating to the investment management industry (including fund governance, sales practices and marketing).

Insights & Events

- Autrice, « Les priorités de la Division de gestion des placements de la CVMO en 2025 : Points saillants de l'avis du personnel 81-739 », article de BLG, décembre 2025
- Autrice, « Everything you need to know about the Canadian Investment Regulatory Organization (CIRO): a dynamic resource », article de BLG, février 2025
- Autrice, « The Registration Rules Book », article de BLG, janvier 2025
- Autrice, « OSC's Investment Management Division Report focused on AI, crypto and ESG », article de BLG, décembre 2024
- Autrice, « Investing in the long game », article de BLG, novembre 2024
- Autrice, « Place à de nouveaux rapports : Projets de modification aux règles d'information continue pour les fonds d'investissement », article de BLG, octobre 2024
- Autrice, « Les ACVM ne sont plus les seules dans l'arène : La Loi sur la concurrence a été renforcée pour lutter contre les déclarations environnementales trompeuses », article de BLG, juillet 2024
- Autrice, « Court opens the door to a finding of fiduciary duty in relation to non-managed accounts », article de BLG, juin 2024
- Autrice, « ESG-related investment fund disclosure in 2024: "Walk your talk" », article de BLG, mai 2024
- Autrice, « 18-year check up: Continuous disclosure review and guidance for IRCs », article de BLG, avril 2024

- Premier sondage de gestionnaires de fonds au Canada sur l'ESG : conseils et constats, balado de BLG, février 2024
- Autrice, « It's time: CIRO tackles incorporated advisors », article de BLG, février 2024
- Autrice, « The Registration Rules Book », article de BLG, janvier 2024
- Navigating the currents of ESG expectations: Survey Report on ESG perceptions and practices of Canadian Fund Managers, points de vue de BLG, novembre 2023
- Autrice, « Common ground: new report provides standard definitions for key responsible investment terms », article de BLG, novembre 2023
- Autrice, « La CVMO publie ses directives à l'attention des émetteurs de fonds d'investissement et de produits structurés », article de BLG, novembre 2023
- Autrice, « Examen par les ACVM : pleins feux sur les fonds négociés en bourse », article de BLG, octobre 2023
- Autrice, « Comprendre les indications des ACVM sur l'information des fonds d'investissement au sujet des facteurs ESG », article de BLG, avril 2022
- Autrice, « CFA Institute & Canadian Securities Administrators release ESG investment disclosure standards », article de BLG, janvier 2022
- Autrice, « Canadian securities regulators conduct a "green" sweep of ESG products and practices », article de BLG, avril 2021
- Autrice, « Pas de commission de suivi sans conseils à partir du 1er juin 2022 : de nombreux défis à relever », article de BLG, septembre 2020
- Autrice, « Pleins feux sur les OPC alternatifs : les occasions qui s'offrent à vous en 2020 », article de BLG, septembre 2020
- Autrice, « DSC's demise—Restricted in Ontario and banned in the rest of Canada as of June 1, 2022 », article de BLG, mars 2020
- Autrice, « New Compensation Restrictions for a New Decade – CSA Bans with Twists in Ontario », article de BLG, décembre 2019
- Autrice, « CSA Regulatory Burden Reduction Proposals for Investment Funds: A solid step in the right direction », article de BLG, octobre 2019

Beyond Our Walls

Professional Involvement

- Member, Responsible Investing / ESG Task Force, Investment Funds Institute of Canada
- Former Vice Chair, Legal and Compliance Working Group, Investment Funds Institute of Canada
- Member, Alternative Investment Management Association Canada
- Member, Ontario Bar Association

Community Involvement

- Vice Chair, West Park Healthcare Centre Foundation
- Volunteer, BLG Reads to Kids Program

Awards & Recognition

- Recognized in the 2026 edition (and since 2022) of *The Best Lawyers in Canada* (Mutual Funds Law; Private Funds Law)
- Recognized in the 2025 edition (and since 2017) of *Chambers Canada* (Band 2 for Investment Funds)

- Recognized in the 2025 edition (and since 2013) of *The Canadian Legal Lexpert® Directory* (Investment Funds & Asset Management)
- Recognized as a 2023 *Thomson Reuters* Stand-out Lawyer
- Winner *Lexpert®* Rising Stars Leading Lawyers 40 and Under in 2011

Bar Admission & Education

- Ontario, 2001
- Executive Education - Accelerated Leadership Program, Faculté de droit de Harvard, 2017
- LLB, Université Queen's, 1999
- BA (Hons.), Université Queen's, 1996

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Borden Ladner Gervais S.E.N.C.R.L., S.R.L. (BLG) est le plus grand cabinet d'avocats canadien véritablement multiservices. À ce titre, il offre des conseils juridiques pratiques à des clients d'ici et d'ailleurs dans plus de domaines et de secteurs que tout autre cabinet canadien. Comptant plus de 800 avocats, agents de propriété intellectuelle et autres professionnels, BLG répond aux besoins juridiques d'entreprises et d'institutions au pays comme à l'étranger pour ce qui touche les fusions et acquisitions, les marchés financiers, les différends et le financement ou encore l'enregistrement de brevets et de marques de commerce.

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