



## Adam Ragusa

### Senior Associate

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[Investment Management](#)

[Registrant Regulation & Compliance](#)

[Mergers & Acquisitions](#)

[Private Funds, Hedge Funds & Alternative Investments](#)

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Adam regularly supports dealers, advisers and fund managers with a wide variety of transactional, structural and securities regulatory matters. He has substantial experience advocating on behalf of his clients to advance their goals in an efficient and compliant manner.

Adam's mergers and acquisitions experience includes complex transactions involving different types of investment industry participants, such as CIRO dealer members and private REITs. He has also guided clients through the successful launch of new registered dealers and investment funds. Adam regularly makes applications and other submissions to securities regulators to support his clients' ongoing operation and continuous development.

During his time at BLG, Adam was seconded to the Office of Mergers & Acquisitions at the Ontario Securities Commission and to the legal department of The Bank of Nova Scotia.

## Insights & Events

- Author, "Regulators delegate, CIRO regulates", BLG Article, April 2025
- Author, "Avoiding compliance déjà vu: CIRO's compliance report for 2025", BLG Article, February 2025
- Author, "CIRO investment dealer proficiency requirements: What's new and what's next?", BLG Article, September 2024

- Author, "The CSA's changes to mandatory registrant regulatory filings are now effective", BLG Article, June 2022
- Author, "Lightening the load: CSA proposes semi-annual financial reporting for venture issuers", BLG Article, May 2021
- Speaker, "Deconstructing the Private M&A Deal", University of Windsor Faculty of Law M&A Seminar, January 2020

## Beyond Our Walls

### Professional Involvement

- Member, Law Society of Ontario
- Member, Canadian Bar Association
- Member, Ontario Bar Association

### Community Involvement

- Caseworker, Downtown Legal Services, 2016 - 2018

## Bar Admission & Education

- Ontario, 2020
- JD, University of Toronto, 2019
- MBA, University of Toronto, 2019
- BComm, Ryerson University, 2014

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