



Kimberly Poster

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As the National Leader and Chief Legal Counsel for AUM, Kim helps to lead the service line's team of professionals. She advises on all aspects of registrant regulatory compliance. With over 27 years of legal experience, Kim specializes in registrant regulation, regulatory policy and investment funds.

Kim's experience includes advising clients on a broad range of regulatory and compliance issues involving registered dealers, advisers and investment fund managers. She has also advised domestic and international issuers on the formation and compliance requirements for various types of investment vehicles and other general securities law matters impacting registered firms.

Kim is recognized by clients for her professionalism, practical and inspired advice, leadership and broad strategic expertise in her field.

Insights & Events

- Author, "Under the bright lights: CSA & CIRO illuminate enhanced expectations in phase 2 client-focused reform sweep", BLG Article, December 2025
- Author, "BCSC 2024 Compliance Report Card: A sneak peek of the 2024 CFR sweep findings", BLG Article, October 2025
- Author, "CIRO's handful of proposals: Phase 5 of the rule consolidation project", BLG Article, April 2025
- Author, "Avoiding compliance déjà vu: CIRO's compliance report for 2025", BLG Article, February 2025

- Author, "Staying comfortable and current: CIRO continuing education rule proposals", BLG Article, January 2025
- Author, "Firms that use AI systems for any purpose: It's time to make a to-do list", BLG Article, January 2025
- Author, "Navigating the proposed SEDAR+ System Fee increases without falling apart", BLG Article, January 2025
- Author, "OSC's draft Statement of Priorities – Staying secure", BLG Article, November 2024
- Author, "Demystifying OBSI's loss calculation for unsuitably sold illiquid exempt market securities", BLG Article, October 2024
- Author, "Staying ahead of OSC Staff's Approach to Sustainable Finance", BLG Article, October 2024
- Speaker, "Adapting to the Regulatory Roadmap: Behind the Scenes with Industry Experts", The Investment Funds Institute of Canada Annual Conference, October 2024
- Author, "Nurturing compliance: CSA publishes FAQ on Derivatives Business Conduct rule", BLG Article, September 2024
- Author, "OSC study on ripening changes of AI in retail investing", BLG Article, September 2024
- Author, "An orchestrated collection of information – 2024 CSA Investor Index", BLG Article, August 2024
- Author, "Next in the festival line up: Understanding new complaint handling regulations in Québec", BLG Article, August 2024
- Author, "ASC's 2024 annual report scoop", BLG Article, July 2024
- Author, "CSA's cool recap of the year in review", BLG Article, July 2024
- Author, "2023 annual report of OSC's investor advisory panel: Staying safe", BLG Article, June 2024
- Author, "FSRA's gearing up for change: Consultation on total cost reporting for segregated funds", BLG Article, June 2024
- Author, "Experimenting with flavours and exemptions – Supporting early-stage Ontario businesses", BLG Article, May 2024
- Author, "Firing up consumer protections: FSRA panel releases report", BLG Article, May 2024
- Author, "Grilling of disclosure – Is it clear and conspicuous enough?", BLG Article, May 2024
- Author, "OSC releases hot strategic plan for 2024-2030", BLG Article, May 2024
- Author, "CSA systemic risk report: Exploring rare occurrences", BLG Article, February 2024
- Author, "OSC releases digital engagement report – Finding stability amidst change", BLG Article, February 2024

Beyond Our Walls

Professional Involvement

- Member Advisory Panel, Canadian Association of Alternative Strategies and Assets, 2019-present

Awards & Recognition

- Recognized in the 2026 edition (and since 2024) of *The Best Lawyers in Canada®* (Mutual Funds Law) and in the 2024 edition (Securities Law)
- Recognized in the 2025 edition (and since 2022) of *The Canadian Legal Expert® Directory* (Investment Funds and Asset Management)



Bar Admission & Education

- Ontario, 1998
- JD, Osgoode Hall Law School, 1996

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As the largest, truly full-service Canadian law firm, Borden Ladner Gervais LLP (BLG) delivers practical legal advice for domestic and international clients across more practices and industries than any Canadian firm. With over 800 lawyers, intellectual property agents and other professionals, BLG serves the legal needs of businesses and institutions across Canada and beyond – from M&A and capital markets, to disputes, financing, and trademark & patent registration.

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