



## Scott McEvoy

### Senior Counsel

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[Private Funds, Hedge Funds & Alternative Investments](#)  
[Registrant Regulation & Compliance](#)  
[ETFs, Structured Products & Closed-End Funds](#)  
[Mutual Funds](#)  
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Scott practises corporate and securities law, specializing in issues relating to the investment management industry. He also provides advice to pension plan administrators and service providers on investments, structuring and regulatory compliance.

#### Scott's work for investment management clients focuses on:

- domestic retail and institutional investment funds
- Canadian and offshore hedge funds
- alternative investment vehicles
- registrant regulation and compliance

His pensions practice focuses on pension fund investments, compliance, ESG and sustainable investing. Scott is a member of the firm's [Environmental, Social and Governance \(ESG\)](#) initiative.

Scott was seconded as in-house counsel to INVESCO during its merger with Trimark.

Prior to entering law, he worked in the audit and financial services divisions of Arthur Andersen & Cie in both Montréal and Leeds (England).

## Experience

- Structures and reviews pension plan texts; drafts plan documentation, reviews and drafts statements of investment policies and procedures, investment management agreements and other service provider agreements for pension plans.
- Provides advice on pension investment restrictions and investment structuring, ESG considerations, and investment compliance regulatory matters.
- Advises both Canadian and foreign investment fund managers and portfolio managers on the establishment and ongoing operations of investment funds.
- Provides advice to advisors, dealers [including Investment Industry Regulatory Organization of Canada (IIROC) members and Mutual Fund Dealers Association of Canada members] and investment fund managers on compliance systems, policies and procedures, regulatory matrices and other compliance tools for meeting Canadian securities requirements and pension investment rules.
- Provides advice on registration matters, ongoing registrant regulation, AML rules and FINTRAC reporting, compliance field and desk reviews and examinations.
- Structures and reviews pension plan texts; drafts plan documentation; reviews and drafts statements of investment policies and procedures, investment management agreements and other service provider agreements for pension plans.
- Provides advice on pension investment restrictions and structuring, ESG considerations and regulatory compliance.
- Advises plan administrators on infrastructure investments

## Insights & Events

- Author, "Investing in the long game", BLG Article, November 2024
- Navigating the currents of ESG expectations: Survey Report on ESG perceptions and practices of Canadian Fund Managers, BLG Perspective, November 2023
- Author, "[Challenges For Pension Fiduciaries In Managing ESG Information \[Overload\]](#)," Benefits and Pensions Monitor, April 2023
- Author, "An international perspective: ESG and pensions guide", BLG Article, June 2022
- Author, "Uniquely Canadian regulatory expectations for investment fund liquidity risk management", BLG Article, October 2020
- Presenter, "Pension Investment Topical Issues," Portfolio Management Association of Canada (PMAC), June 12, 2019
- Author, "The Increasing Exposure of Retirement Savings to Derivative Instruments," Estates, Trusts & Pensions Journal, Vol. 38, 2019
- Co-author, Canada chapter, Hedge Funds (2nd ed.), Globe Law and Business, 2019
- Co-author, Canada chapter, Hedge Funds (2nd ed.), Globe Law and Business, 2019
- Presenter, "Client-Focused Reforms and Pension Funding Changes," BLG Vancouver client event, December 4, 2018
- Presenter, "NI 31-103 Client-Focused Reforms," PMAC Compliance Officers Meeting, July 12, 2018
- Author, "Canadian Plans Prepare to Increase Risk Assets," Pensions & Investments, January 2018
- Presenter, "Navigating Private Placement Regimes Around the World," Alternative Investment Management Association, May 2017

- Author, Corporate Securities and Finance Law Report
- Author, "Ontario Considers Elimination of 30% Corporate Control Limit," BLG publications, February 2016
- Author, "Ontario Considers Elimination Of 30% Corporate Control Limit", BLG Article, December 2015
- Presenter, Osgoode Certificate in Regulatory Compliance and Legal Risk Management for Financial Institutions, 2013-2016
- Author of quarterly articles for BLG Pension Compliance Report
- Quoted in various articles for Pensions and Investments
- Frequent contributor and editor, BLG Pension Compliance Report

## Beyond Our Walls

### Professional Involvement

- Member, accounting advisory standing working group, Investment Funds Institute of Canada, 2009-present
- Member, PMAC industry regulation and taxation committee and pensions sub-committee
- Member, market development committee, Canadian Pension & Benefits Institute, 2014-2017
- Member, National Society of Compliance Professionals
- Member, Alternative Investment Management Association
- Member, Canadian Bar Association
- Member, American Bar Association
- Member, International Bar Association
- Past member, business affairs committee, Toronto Board of Trade
- Past member, various committees, Investment Funds Institute of Canada

### Community Involvement

- Volunteer, BLG Reads to Kids Program
- Director, Glenmajor Angling Club
- Member, Advisory Board, The Canada Memorial Foundation
- Past committee member, Mooredale Community Association

## Awards & Recognitions

- Recognized in the 2020 edition of *The Canadian Legal Lexpert® Directory* (Investment Funds & Asset Management)
- Recognized in the 2014-2018 editions of *Who's Who Legal: Canada* (Private Funds)

## Bar Admission & Education

- British Columbia, 2007
- Ontario, 1997
- Canadian legal accreditation, McGill University, 1995
- LLM (with Merit), King's College London, 1994
- LLB (Hons.), Leeds University, 1993



- BA (Hons.), University of Western Ontario, 1986

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