



Matthew P. Williams

Associé

T 416.367.6261

F 416.367.6749

Toronto

MWilliams@blg.com

[LinkedIn](#)

[Réglementation et conformité des personnes inscrites](#)

[Fonds de capital-investissement, fonds de couverture et placements non traditionnels](#)

[Capital-investissement](#)

[Royaume-Uni et Europe](#)

[États-Unis](#)

[Gestion des investissements](#)

Matt practices investment management and securities law. He focuses exclusively in the areas of:

- registrant regulation
- compliance
- hedge/pooled/private equity funds

Matt advises foreign and Canadian advisers, dealers and fund managers on registration and ongoing securities compliance matters.

He also advises both offshore and domestic hedge and private equity funds on the sale of their product in Canada and the regulatory aspects of private placements.

Prior to joining BLG, Matt was legal counsel in the General Counsel's Office at the Ontario Securities Commission (OSC). In 2010, Matt was seconded to BMO Financial Group as legal counsel in the Global Asset Management department. In 2012, Matt was seconded to the Compliance and Registrant Regulation branch of the OSC as Legal Counsel.

Experience

- Advised BNY Mellon Wealth Management, Advisory Services, Inc. in its sale to Guardian Capital Group (TSX: GCG).
- Advises both foreign and domestic entities on all matters relating to asset management, private placements and securities compliance in Canada.
- Obtains registrations for foreign and domestic advisers, dealers and fund managers, from start-up hedge funds to global asset managers with over a trillion dollars in AUM.
- Acts in all aspects of the formation, organization and maintenance of hedge funds and other pooled fund products, along with their management companies.

Insights Rollup

- Auteur, « The OSC wants registered firms' "go bags" packed and ready: how firms can tailor their packing lists and how owners can protect their legacies », article de BLG, septembre 2024
- Auteur, « Let's inspect the OSC's 2024 Registration, Inspections and Examinations Division Summary Report », article de BLG, septembre 2024
- Perles de sagesse : Tendances en matière de réglementation des investissements et préparation en vue de l'entrée en scène du Nouvel organisme d'autoréglementation du Canada, vidéo de BLG, janvier 2023
- Auteur, « The CSA's changes to mandatory registrant regulatory filings are now effective », article de BLG, juin 2022
- Auteur, « Preparing for the new CFR titles and misleading communication requirements », article de BLG, mai 2021
- Auteur, « Regulatory compliance of foreign private equity and hedge funds sales to Canada », article de BLG, mars 2021
- Auteur, « \$name », article de BLG, janvier 2020
- Auteur, « Now the Work Begins — "Client Focused" Registrant Reform Rules Published by Canadian Securities Regulators », article de BLG, octobre 2019
- Auteur, « Monthly Reporting Requirements Still in Effect for Securities Registrants and Exempt Firms under Amended STCS Legislation », article de BLG, juin 2019
- Speaker, "Compliance Refreshers", BLG's Investment Management Group Client Event, November 2018
- Speaker, "CSA's Client-Focused Reforms – What does it all mean?", Federation of Mutual Fund Dealers' Education Day, September 2018
- Speaker, "OSC Initiatives: Recent & Future", EVIV Group, September 2018
- Speaker, "CSA Registrant Regulation Proposals: What's Shelved (for now) and What's Supersized", BLG's Investment Management Group Client Event, July 2018
- Speaker, "New Custody Rules Come Into Effect June 2018", BLG's Investment Management Group Client Event, November 2017
- Speaker, "Hot Topics in Canada," National Society of Compliance Professionals – 2017 National Meeting, October 2017
- Auteur, « Canadian Securities Regulators Finalize New Requirements for Canadian Registrants », article de BLG, août 2017
- Speaker, "Canadian Hot Topics - You might have a Canadian issue if...", National Society of Compliance Professionals, October 2016
- Speaker, "Changing the Status Quo? Proposed CSA 'Targeted' Reforms on Best Interest Standard", BLG's Investment Management Group Client Event, June 2016

- Speaker, "Exempt Market Dealer Compliance", Strategy Institute - 9th Annual Conference on Registrant Regulation, Conduct and Compliance, April 2016
- Speaker, "What to Expect When You're Expecting a Compliance Audit," Alternative Investment Management Association, February 2016
- Author, "So You Have a Canadian Client for Your Funds - Now What?" NSCP Currents, the newsletter of the National Society of Compliance Professionals (NSCP), March 2015.
- Auteur, « \$name », article de BLG, janvier 2015
- Author, "Significant Amendments to National Instrument 31-103 Finalized," BLG Investment Management Bulletin, October 2014
- Author, "Chapter Three: Registration in Canada," How to Start and Grow a Successful Hedge Fund in Canada, ISI Publications, August 2014 (with Prema Thiele and Laurie Cook)
- Author, "Distributing Hedge Funds in Canada," HFMWeek Magazine, August 2014.

Beyond our Walls

Professional Involvement

- Member, Law Society of Upper Canada
- Member, Canadian Bar Association
- Member, Ontario Bar Association
- Member, Toronto Lawyers Association

Community Involvement

- Founding member, The R. Fraser Elliott Society at the Toronto General and Western Hospital Foundation

Awards & Recognitions

- Recognized in the 2019 edition (and since 2018) of *Canadian Legal Lexpert® Directory* (Investment Funds & Asset Management)

Bar Admission & Education

- Ontario, 2005
- LLB, Université de Western Ontario, 2004
- B.Comm., Finance, Université McGill, 2001

BLG | Vos avocats au Canada

Borden Ladner Gervais s.E.N.C.R.L., S.R.L. (BLG) est le plus grand cabinet d'avocats canadien véritablement multiservices. À ce titre, il offre des conseils juridiques pratiques à des clients d'ici et d'ailleurs dans plus de domaines



et de secteurs que tout autre cabinet canadien. Comptant plus de 725 avocats, agents de propriété intellectuelle et autres professionnels, BLG répond aux besoins juridiques d'entreprises et d'institutions au pays comme à l'étranger pour ce qui touche les fusions et acquisitions, les marchés financiers, les différends et le financement ou encore l'enregistrement de brevets et de marques de commerce.

blg.com

© 2025 Borden Ladner Gervais S.E.N.C.R.L., S.R.L. Borden Ladner Gervais est une société à responsabilité limitée de l'Ontario.