



Ruoxi Cai

Counsel, AUM

T 437.518.6206
F 416.367.6749
Toronto
RCai@blg.com
[LinkedIn](#)

[AUM Law](#)
[Registrant Regulation & Compliance](#)

Ruoxi's practice focuses on the securities laws that apply to securities registrants, including portfolio managers, dealers and investment fund managers. Before joining AUM in 2023, she began her legal career in-house as legal counsel, then senior legal counsel with a major insurance, investment and financial services company engaged in general corporate and investment fund matters. Ruoxi has experience in securities registrant regulation and compliance, including developing, reviewing and assessing policies and procedures. She also prepares regulatory filings for funds and assists with responses to regulatory inquiries and audits. Ruoxi is fluent in Mandarin.

Bar Admission & Education

- Ontario, 2018



- JD, Osgoode Hall Law School, 2017
- BA, University of Guelph, 2013

BLG | Canada's Law Firm

As the largest, truly full-service Canadian law firm, Borden Ladner Gervais LLP (BLG) delivers practical legal advice for domestic and international clients across more practices and industries than any Canadian firm. With over 800 lawyers, intellectual property agents and other professionals, BLG serves the legal needs of businesses and institutions across Canada and beyond – from M&A and capital markets, to disputes, financing, and trademark & patent registration.

[blg.com](https://www.blg.com)

© 2026 Borden Ladner Gervais LLP. Borden Ladner Gervais LLP is an Ontario Limited Liability Partnership.