



## Robert D. Wallis

### Associé

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[Gestion des investissements](#)  
[Fonds communs de placement](#)  
[Fonds de capital-investissement, fonds de  
couverture et placements non traditionnels](#)  
[Réglementation et conformité des  
personnes inscrites](#)  
[Actifs numériques](#)  
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Robert advises clients engaged in all aspects of the investment management industry. He regularly works with and counsels investment fund managers, portfolio managers, dealers, financial institutions and institutional investors.

Robert has expertise in the formation, management and operation of public and private investment funds in Canada, and the offering of their securities in public offerings and private placements.

Additionally, he regularly assists clients seeking to obtain and maintain registration with Canadian securities regulators, and provides those clients with ongoing advice on securities regulatory and compliance matters.

## Experience

- Member of the BLG team that represented First Block Capital Inc. in connection with its registration as an investment fund manager and an exempt market dealer in order to operate a bitcoin investment fund, the first registration of an investment fund manager in Canada solely dedicated to cryptocurrency investments. Also acted in connection with the direct and syndicated private placement of units of the bitcoin fund to investors in Canada and abroad.
- Assisted with the formation and initial public offering of the first British Columbia based exchange-traded mutual fund.
- Regularly advises on all issues relating to the formation, organization and operation of retail mutual funds, hedge funds, pooled funds, private equity funds and other investment vehicles.

- Regularly assists with the distribution of securities of domestic and foreign investment funds and other investment vehicles in Canada through public offering or private placements.
- Regularly assists client obtain registration with Canadian securities regulators.
- Regularly advises portfolio managers, dealers and investment fund managers with respect to registration and ongoing securities regulatory and compliance matters.
- Has obtained exemptive relief from the Canadian securities regulators from a wide range of requirements under Canadian securities law, including complex and novel exemptive relief.

## Insights & Events

- Auteur, « CSA adopts final amendments to prospectus filing rules for investment funds », article de BLG, décembre 2024
- Auteur, « Place à de nouveaux rapports : Projets de modification aux règles d'information continue pour les fonds d'investissement », article de BLG, octobre 2024
- Auteur, « CSA publishes guidance on Public Crypto Funds – What fund managers need to know », article de BLG, août 2023
- Auteur, « Les ACVM souhaitent obliger les personnes inscrites à les aider à protéger les clients de l'exploitation financière », article de BLG, juillet 2020
- Author, "B.C. Regulators Okay Registration of Canada's First Cryptocurrency Fund Manager," The Lawyer's Daily, October 2017.
- Auteur, « CSA Increases Regulatory Clarity in the Cryptic World of Digital Currencies », article de BLG, août 2017
- Author, "Finally! Offering Memorandum Exemption Available Everywhere: But Still Not Harmonized," BLG's Securities & Capital Markets Bulletin, November 2015

## Beyond our Walls

### Professional Involvement

- Member, Canadian Bar Association
- Member, Law Society of British Columbia
- Alternative Investment Management Association

## Awards & Recognitions

- Recognized in the 2025 (and since 2020) edition of *The Canadian Legal Lexpert® Directory* (Investment Funds & Asset Management).

## Bar Admission & Education

- Alberta, 2023
- Colombie-Britannique, 2011
- JD, Université de la Colombie-Britannique, 2010

- BSc, Simon Fraser University, 2006

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